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#5 / C. Laha  
Suppl. Docs  
2/5/03

UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Daniel F. Justin et al.  
  
Application No.: 09/966,737  
  
Filed: September 28, 2001  
  
For: Expanding Ligament Graft Fixation System and Method  
  
Attorney Docket No.: 22956-72

Group Art Unit: 3738  
  
Examiner: Not Yet Assigned

Commissioner for Patents  
Washington, D.C. 20231

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TRANSMITTAL LETTER

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Supplemental Information Disclosure Statement;
2. PTO/SB/08A Form with cited (6) references thereon; and
3. Return receipt postcard.

The Commissioner is authorized to charge any overpayments or underpayments if necessary to our Deposit Account No. 141449, Reference No. 22956-72. A duplicate of this sheet is enclosed.

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Ronald E. Cahill, Reg. No 38,403

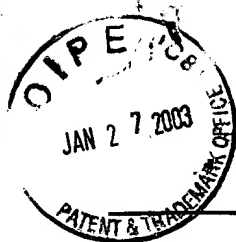
Respectfully submitted,  
  
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PATENT MARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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By:

Ronald E. Cahill

Reg. No. 38,403

Attorney for Applicant

Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

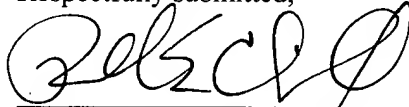
Applicants and their attorney have become aware of the following publications and information, cited in an International Search Report issued December 27, 2002 during the prosecution of PCT/US02/28770 which corresponds to the above referenced application, and in accordance with 37 CFR §1.97(c), hereby submit these publications for the Examiner's consideration. These publications are cited on the enclosed PTO Form 1449, and a copy of each publication cited thereon, as well as a copy of the Search Report, are also enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand the Examiner will make an independent evaluation of the cited publications.

The undersigned hereby certifies that each item contained in this disclosure was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 141449.

Respectfully submitted,



Ronald E. Cahill, Reg. No. 38,403  
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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/966,737
				Filing Date	September 28, 2001
				First Named Inventor	Daniel F. Justin, et al.
				Art Unit	3738
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	22956-72

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	5,707,395	01/13/98	Li	
	AB	5,480,403	01/02/96	Lee et al.	
	AC	5,702,397	12/30/97	Goble et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	AD	WO 01/95835 A1	12/20/01	PCT		
	AE	WO 02/32345 A2	04/25/02	PCT		
	AF	WO 01/06909 A2	02/01/01	PCT		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>

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